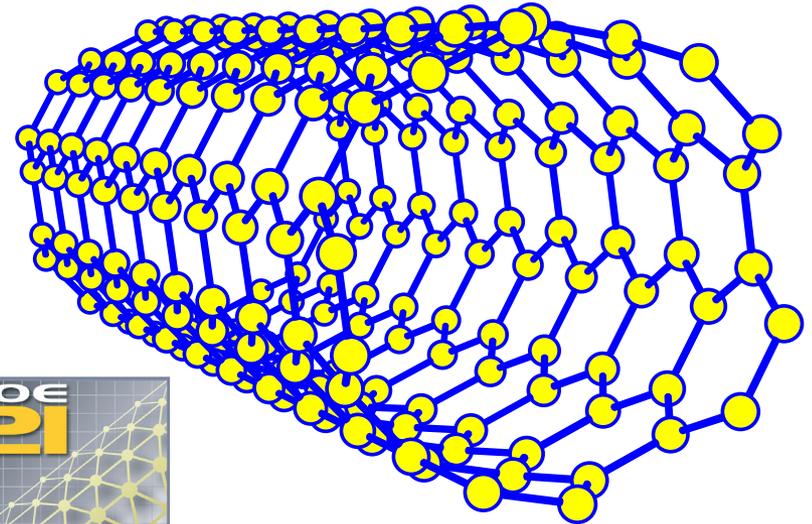


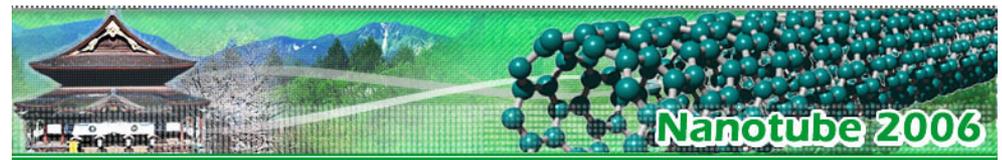
# Metallic Nanotubes as a Perfect Conductor

Tsuneya ANDO

1. Effective-mass description
  - Neutrino on cylinder surface
2. Nanotube as a perfect conductor
  - Absence of backward scattering
  - Perfectly transmitting channel
  - Some experiments
3. Effects of symmetry breaking
  - Inelastic scattering
  - Magnetic field and flux
  - Short-range scatterers
  - Trigonal warping
4. Inter-wall interaction
  - Negligible inter-wall conductance
5. Summary and conclusion



Sumio Iijima (1991)



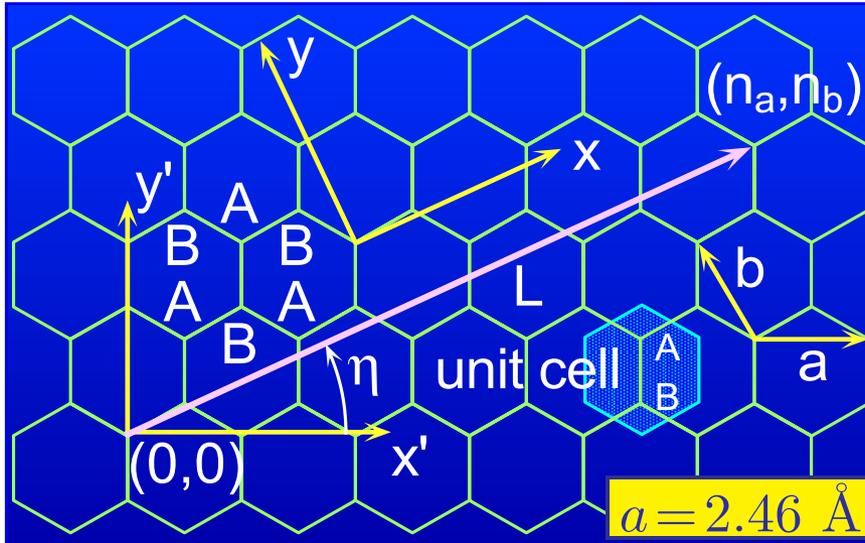
## Collaborators

T. Nakanishi (AIST)  
 H. Suzuura (Hokkaido Univ)  
 S. Uryu (Tokyo Tech)

*Nagano, June 23 (Fri), 2006*

NT06: Seventh International Conference on the Science and Application of Nanotubes, Hotel Metropolitan Nagano, Nagano, Japan, June 18–23, 2006 [09:45-10:15 (25+5)]

# Two-Dimensional Graphite and Carbon Nanotubes



**Chiral vector** :  $\mathbf{L} = n_a \mathbf{a} + n_b \mathbf{b}$

**Chiral angle** :  $\eta$

**Weyl's equation for neutrinos**

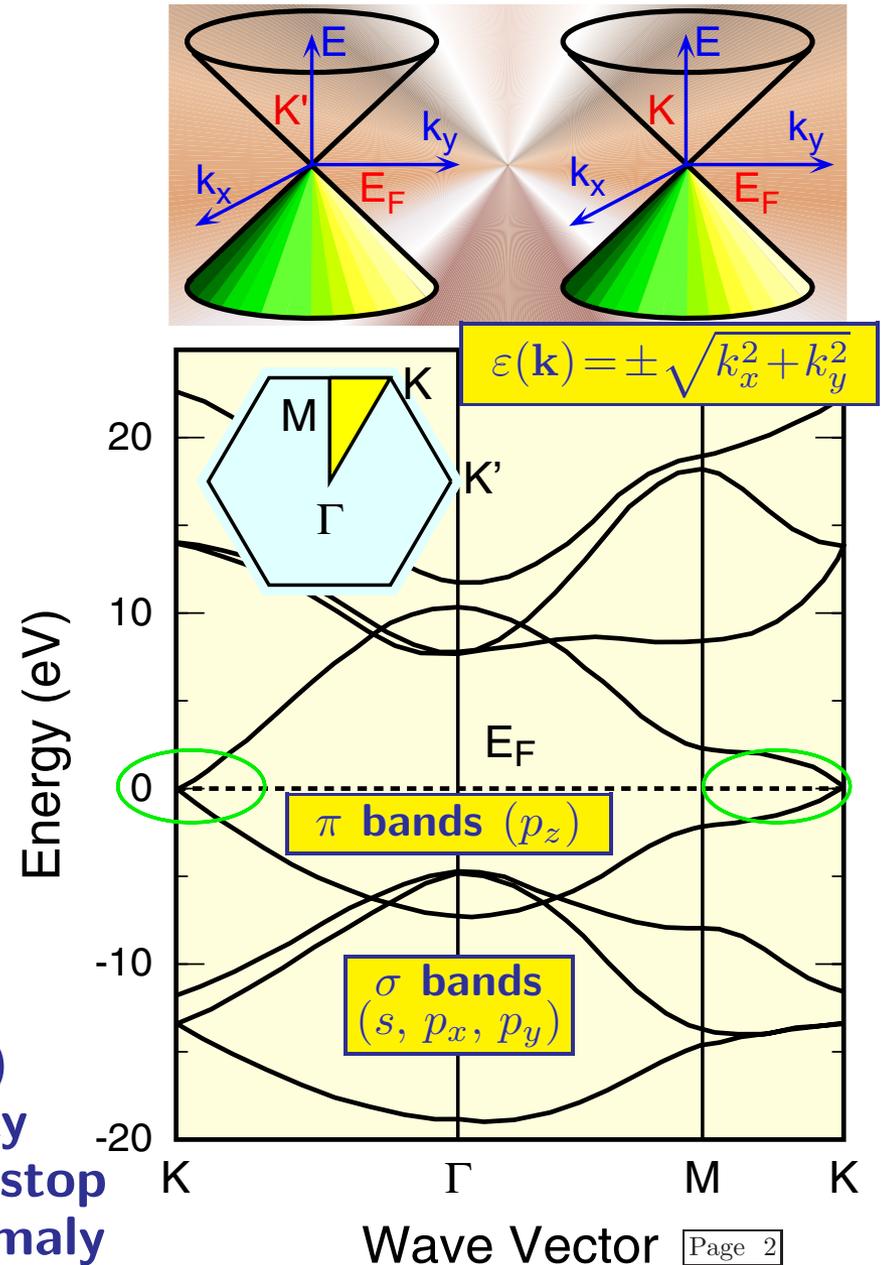
$$\gamma \begin{bmatrix} 0 & \hat{k}_x - i\hat{k}_y \\ \hat{k}_x + i\hat{k}_y & 0 \end{bmatrix} \begin{bmatrix} F^A(\mathbf{r}) \\ F^B(\mathbf{r}) \end{bmatrix} = \varepsilon \begin{bmatrix} F^A(\mathbf{r}) \\ F^B(\mathbf{r}) \end{bmatrix}$$

$$\Leftrightarrow \gamma(\sigma_x \hat{k}_x + \sigma_y \hat{k}_y) \mathbf{F}(\mathbf{r}) = \varepsilon \mathbf{F}(\mathbf{r})$$

$\Leftrightarrow \gamma(\vec{\sigma} \cdot \hat{\mathbf{k}}) \mathbf{F} = \varepsilon \mathbf{F}$  **Massless (Dirac)**  
**Constant velocity**  
 $\sim$ light, cannot stop  
**Topological anomaly**

$$\hat{\mathbf{k}} = -i\vec{\nabla}$$

**Velocity:**  $\gamma/\hbar$



# Periodic Boundary Conditions and Band Structure

## Periodic boundary conditions

$$\psi(\mathbf{r}) = \sum_{j=A,B} F^j(\mathbf{r}) \Psi_{j\mathbf{K}}(\mathbf{r})$$

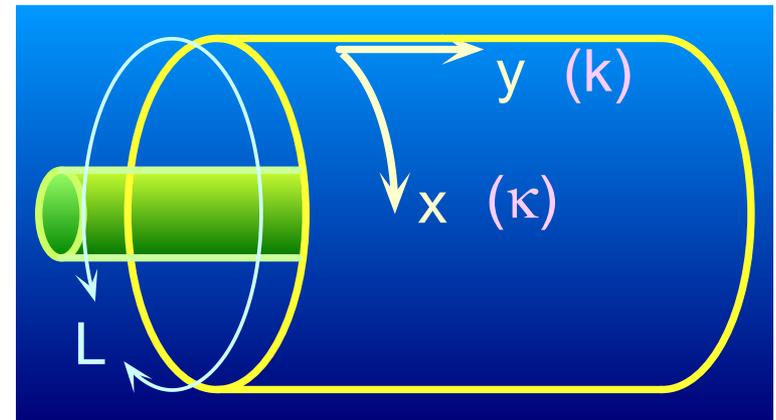
$$\Psi_{j\mathbf{K}}(\mathbf{r} + \mathbf{L}) = \Psi_{j\mathbf{K}}(\mathbf{r}) \exp(i\mathbf{K} \cdot \mathbf{L})$$

$$\exp(i\mathbf{K} \cdot \mathbf{L}) = \exp(+2\pi\nu i/3)$$

$$n_a + n_b = 3N + \nu \quad (\nu = 0, \pm 1)$$

$$\psi(\mathbf{r} + \mathbf{L}) = \psi(\mathbf{r}) \Rightarrow \boxed{F(\mathbf{r} + \mathbf{L}) = F(\mathbf{r}) \exp(-2\pi\nu i/3)}$$

**Fictitious  
AB flux**



## Wave functions

$$F(\mathbf{r}) \propto \exp[i\kappa_\nu(n)x +iky]$$

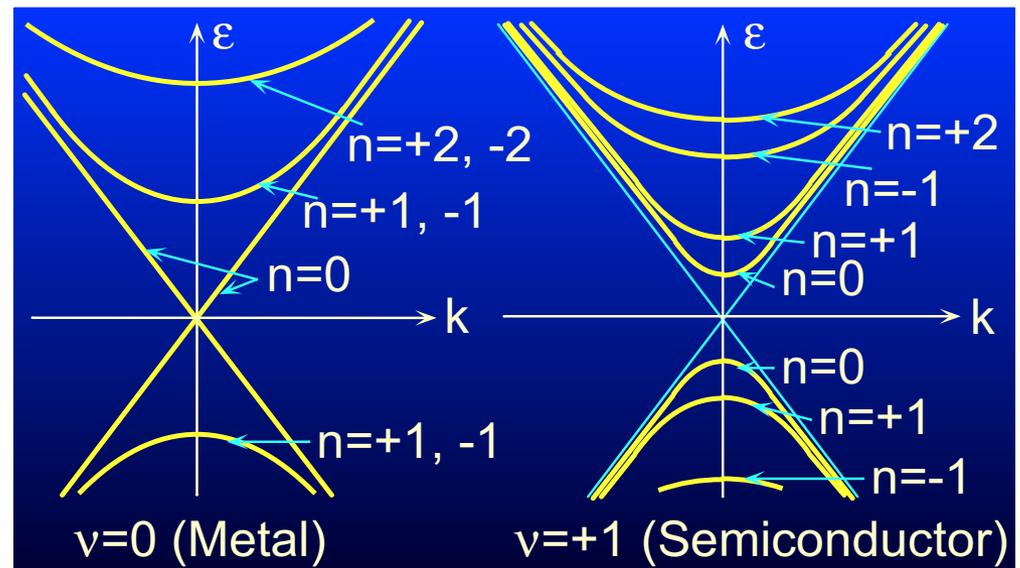
$$\kappa_\nu(n) = \frac{2\pi}{L} \left( n - \frac{\nu}{3} \right)$$

## Energy bands

$$\varepsilon_\nu^{(\pm)}(n, k) = \pm\gamma \sqrt{\kappa_\nu(n)^2 + k^2}$$

## Band gap (semiconductor)

$$E_g = 4\pi\gamma/3L \quad \boxed{\mathbf{K}': \nu \rightarrow -\nu}$$



**$k \cdot p$  scheme  $\Leftrightarrow$  Global and essential properties of CN**

# Topological Anomaly

Weyl's equation : **Neutrino**  $\Leftrightarrow$  **Helicity** ( $\vec{\sigma} \leftrightarrow \mathbf{k}$ )

$$\gamma(\vec{\sigma} \cdot \hat{\mathbf{k}}) \mathbf{F}_{s\mathbf{k}}(\mathbf{r}) = \varepsilon_s(\mathbf{k}) \mathbf{F}_{s\mathbf{k}}(\mathbf{r}) \quad \mathbf{F}_{s\mathbf{k}}(\mathbf{r}) = \frac{1}{\sqrt{LA}} \exp(i\mathbf{k} \cdot \mathbf{r}) R^{-1}[\theta(\mathbf{k})] |s\rangle$$

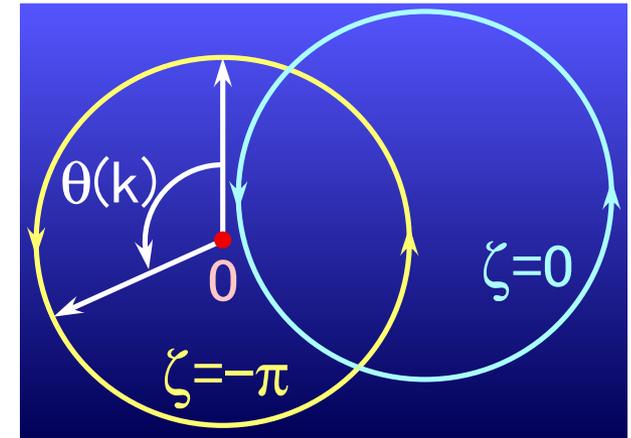
$$\varepsilon_s(\mathbf{k}) = s \gamma |\mathbf{k}| \quad s = \pm 1$$

$$R(\theta \pm 2\pi) = -R(\theta) \quad R(-\pi) = -R(+\pi)$$

Pseudo spin  $\Rightarrow$  **Berry's phase**

$$\zeta = -i \int_0^T dt \left\langle s\mathbf{k}(t) \left| \frac{d}{dt} \right| s\mathbf{k}(t) \right\rangle = -\pi$$

$$\begin{aligned} R(\theta + 2\pi) \\ = e^{-i\zeta} R(\theta) \end{aligned}$$



**Absence of backscattering in metallic CNs**

**Perfect conductor** in the presence of scatterers

*T. Ando and T. Nakanishi, JPSJ 67, 1704 (1998)*

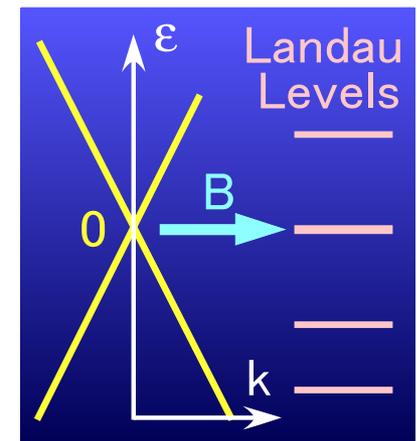
**Perfectly conducting channel**

*T. Ando and H. Suzuura, JPSJ 71, 2753 (2002)*

**Landau levels at  $\varepsilon=0$  in 2D graphite**

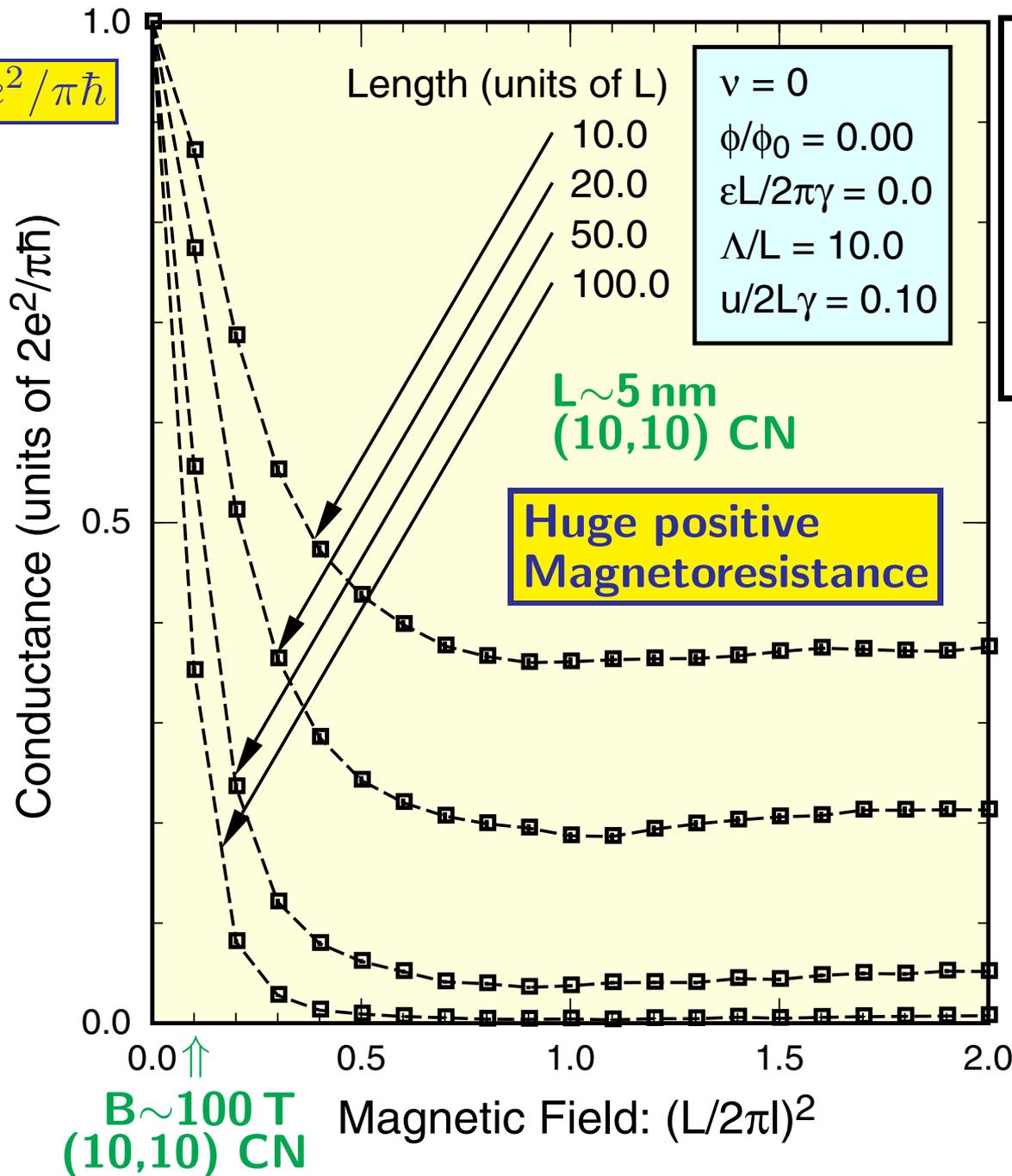
$\Rightarrow$  **Magnetic anisotropy of CN (Field alignment)**

*H. Ajiki and T. Ando, JPSJ 62, 2470 (1993)*

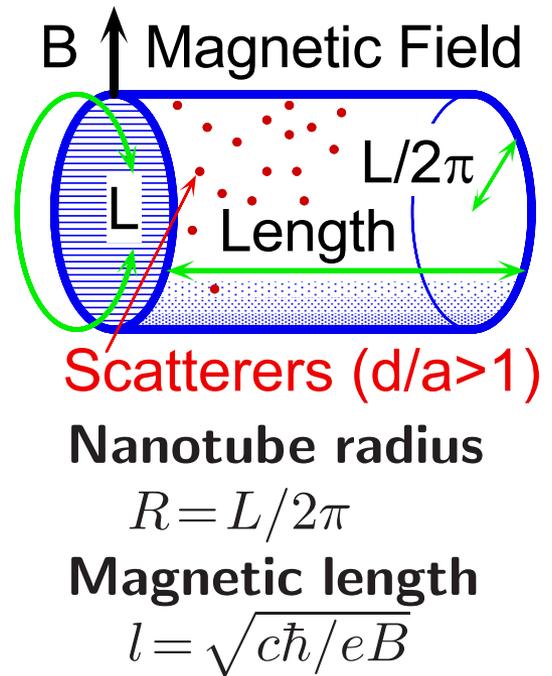


**Experiments:** *S. Zaric et al., Nano Lett. 4, 2219 (2004)*

$2e^2/\pi\hbar$



**Conductance of Finite-Length Nanotubes**  
*T. Ando and T. Nakanishi, JPSJ 67, 1704 (1998)*



**No scatterers with range smaller than lattice constant**

⇒ Perfect conductor in the presence of scatterers ( $B=0$ )

# Helicity, Spin-Rotation, and Berry's Phase

*T. Ando, T. Nakanishi, and R. Saito, J. Phys. Soc. Jpn. 67, 2857 (1998)*

Weyl's equation : Neutrino  $\Leftrightarrow$  Helicity ( $\vec{\sigma} \leftrightarrow \mathbf{k}$ )

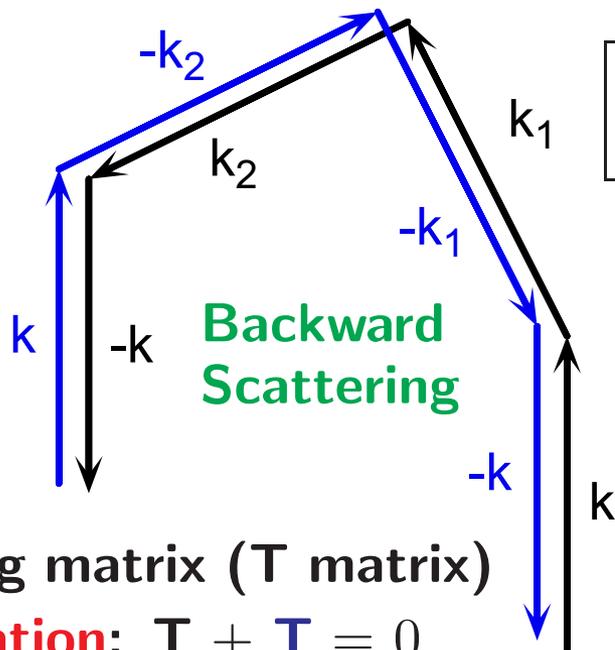
$$\gamma(\vec{\sigma} \cdot \hat{\mathbf{k}}) \mathbf{F}_{s\mathbf{k}}(\mathbf{r}) = \varepsilon_s(\mathbf{k}) \mathbf{F}_{s\mathbf{k}}(\mathbf{r}) \quad \mathbf{F}_{s\mathbf{k}}(\mathbf{r}) = \frac{1}{\sqrt{LA}} \exp(i\mathbf{k} \cdot \mathbf{r}) R^{-1}[\theta(\mathbf{k})] |s\rangle$$

$$\varepsilon_s(\mathbf{k}) = s \gamma |\mathbf{k}| \quad s = \pm 1$$

$$R(\theta \pm 2\pi) = -R(\theta) \quad R(-\pi) = -R(+\pi)$$

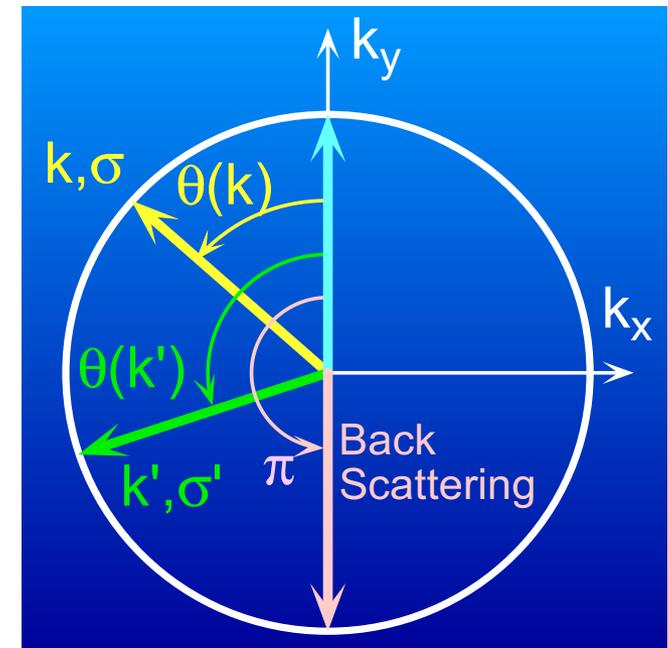
$$R(\theta + 2\pi) = e^{-i\phi} R(\theta) \quad \phi: \text{Berry's phase (Pseudo-spin)}$$

Time reversal path



Scattering matrix (T matrix)

**Cancellation:**  $\mathbf{T} + \mathbf{T} = 0$



$$\phi = -i \int_0^T dt \left\langle s\mathbf{k}(t) \left| \frac{d}{dt} \right| s\mathbf{k}(t) \right\rangle = -\pi$$

**Absence of backward scattering in metallic nanotubes**

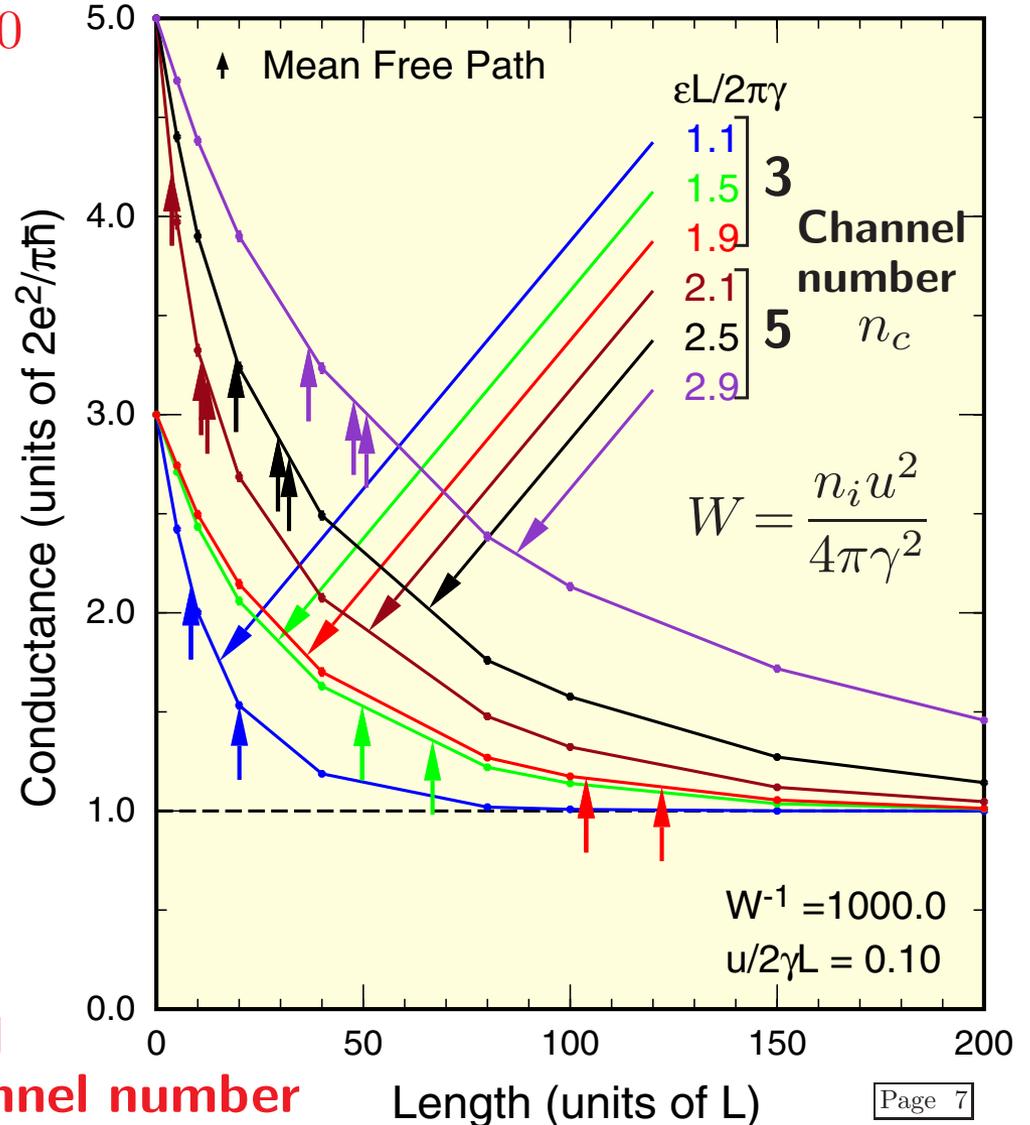
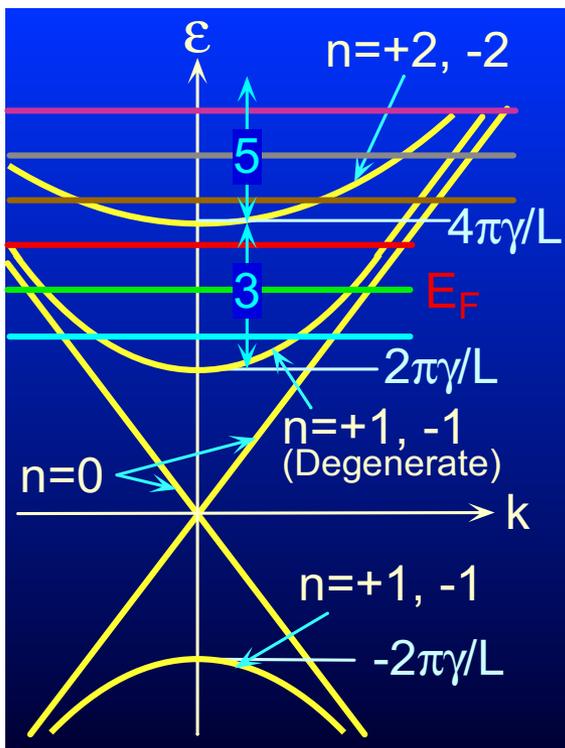
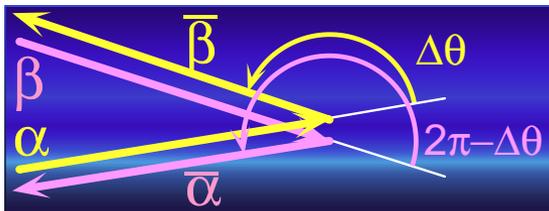
# Multi-Channel Case: Presence of Perfectly Conducting Channel

*T. Ando and H. Suzuura, J. Phys. Soc. Jpn. 71, 2753 (2002)*

Time reversal processes:  $\alpha \rightarrow \bar{\beta} \Leftrightarrow \beta \rightarrow \bar{\alpha} \Rightarrow r_{\bar{\beta}\alpha} = -r_{\bar{\alpha}\beta}$

Reflection matrix  $\Rightarrow \det(r) = 0$

$\Rightarrow$  Perfect channel



# Boltzmann Conductivity and Mean Free Path

## Transport equation

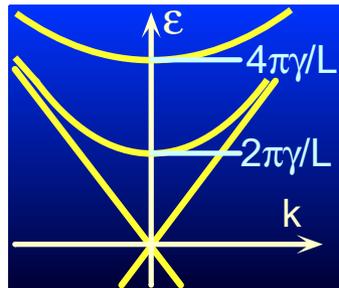
$$-\frac{e}{\hbar} E \frac{\partial g_{mk}}{\partial k} = \sum_{m'k'} W_{m'k'mk} (g_{m'k'} - g_{mk})$$

$$g_{mk} = f(\varepsilon_{mk}) + eE v_{mk} \tau_{mk} \frac{\partial f(\varepsilon_{mk})}{\partial \varepsilon_{mk}}$$

$$\sum_{m'} (K_{m-m'+} - K_{m+m'+}) \Lambda_{m'}(\varepsilon) = 1$$

$$\Lambda_m(\varepsilon) = |v_{mk}| \tau_{mk}$$

$$K_{\nu\mu} = \frac{A \langle |V_{\nu\mu}|^2 \rangle}{\hbar^2 |v_{\mu} v_{\nu}|}$$



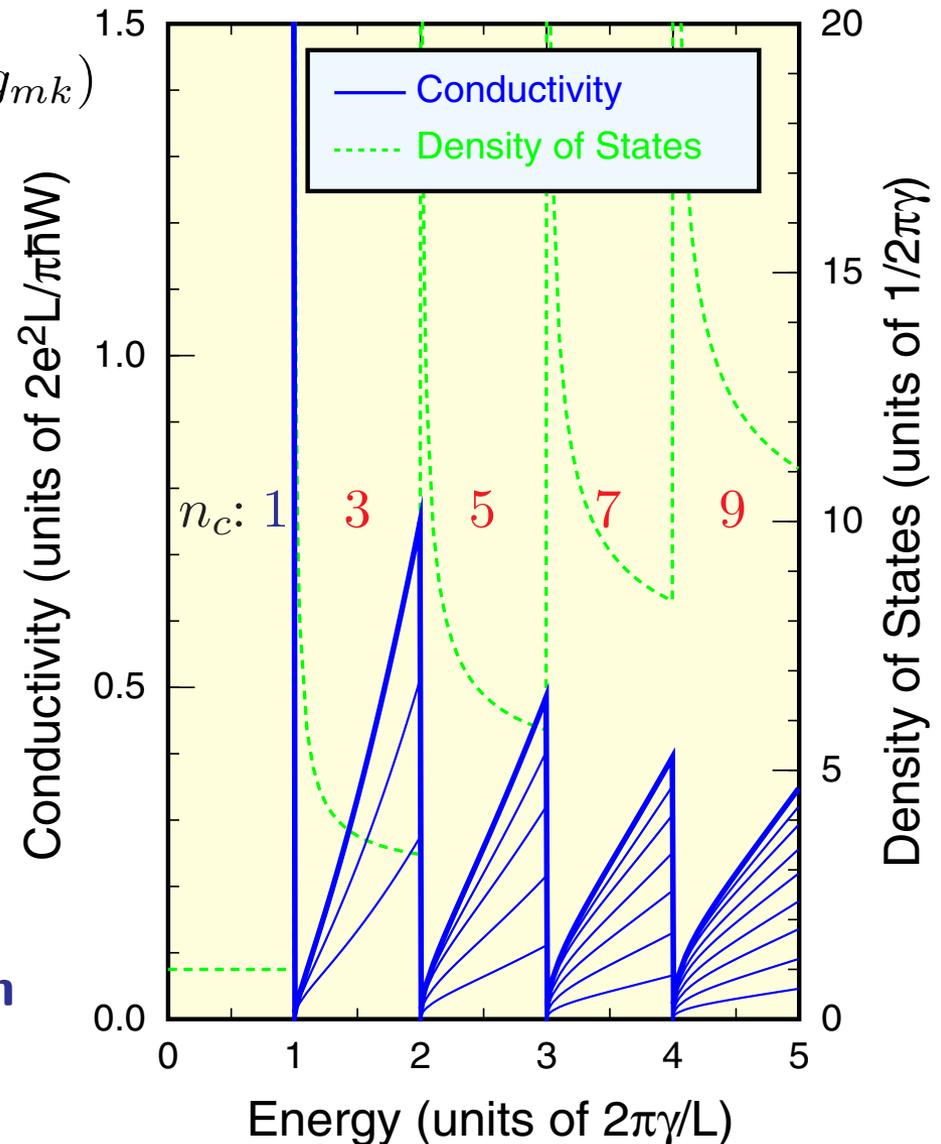
$$\sigma = \int d\varepsilon \left( -\frac{\partial f}{\partial \varepsilon} \right) \sigma(\varepsilon)$$

$$\sigma(\varepsilon) = \frac{4e^2}{\pi\hbar} \sum_m \Lambda_m(\varepsilon)$$

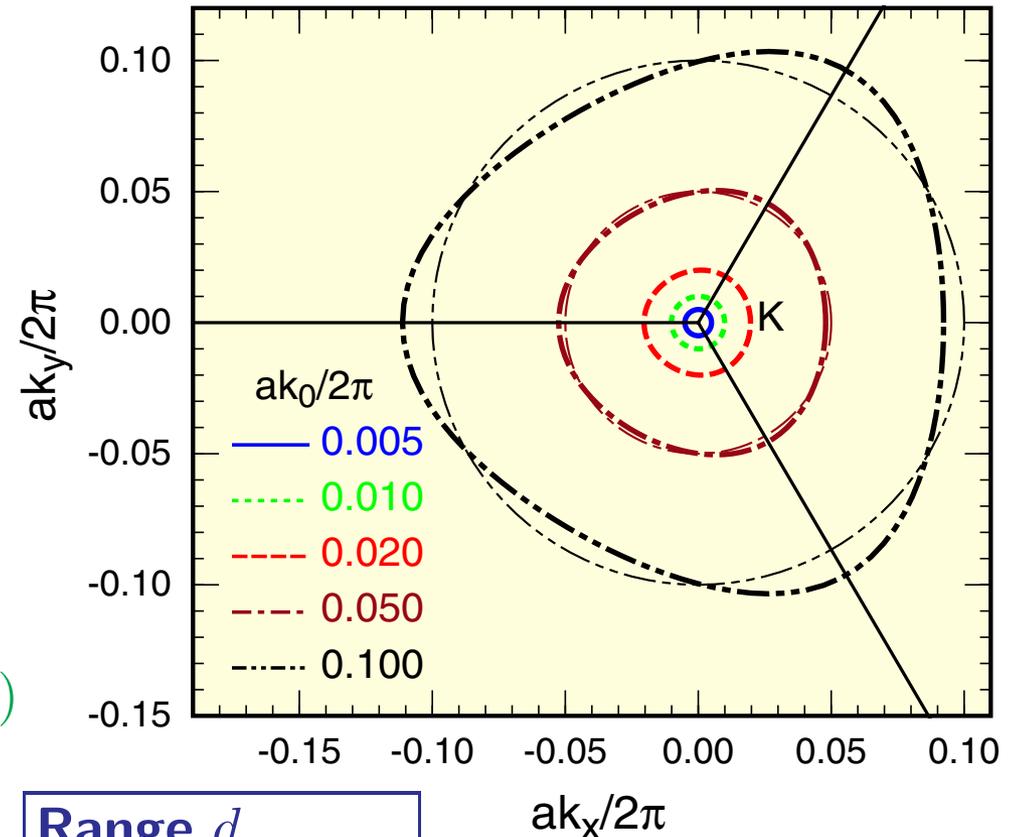
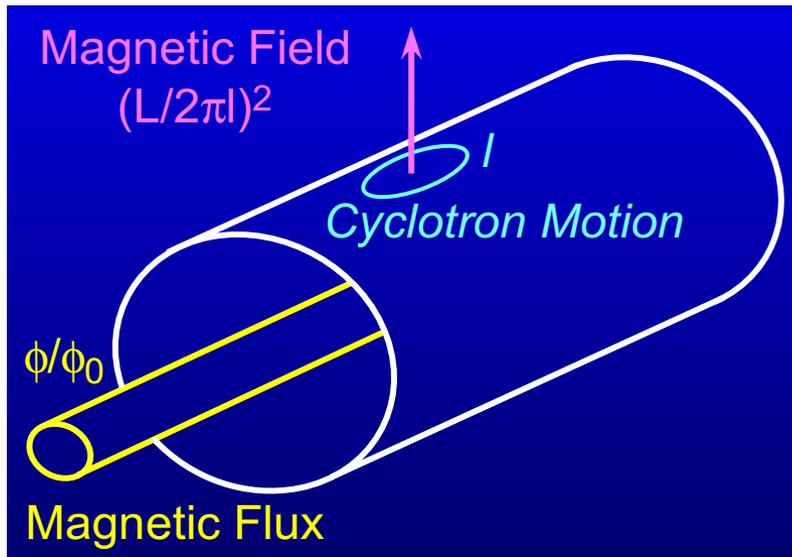
Conductivity  $\sigma$       Mean free path

**Infinite** for  $|\varepsilon| < 2\pi\gamma/L$

**Finite** for  $|\varepsilon| > 2\pi\gamma/L$



# Symmetry Breaking Effects



**Magnetic field:**  $(L/2\pi l)^2$

**Magnetic flux:**  $\phi/\phi_0$  ( $\phi_0 = ch/e$ )

**Short-range scatterers**

Intervalley ( $K \leftrightarrow K'$ )

'Spin' dependent potential

**Trigonal warping** ( $\beta \sim 1$ )

**Range  $d$**

**Long:**  $d/a \gtrsim 1$

**Short:**  $d/a < 1$

[H. Ajiki and T. Ando, *JPSJ* 65, 505 (1996)]

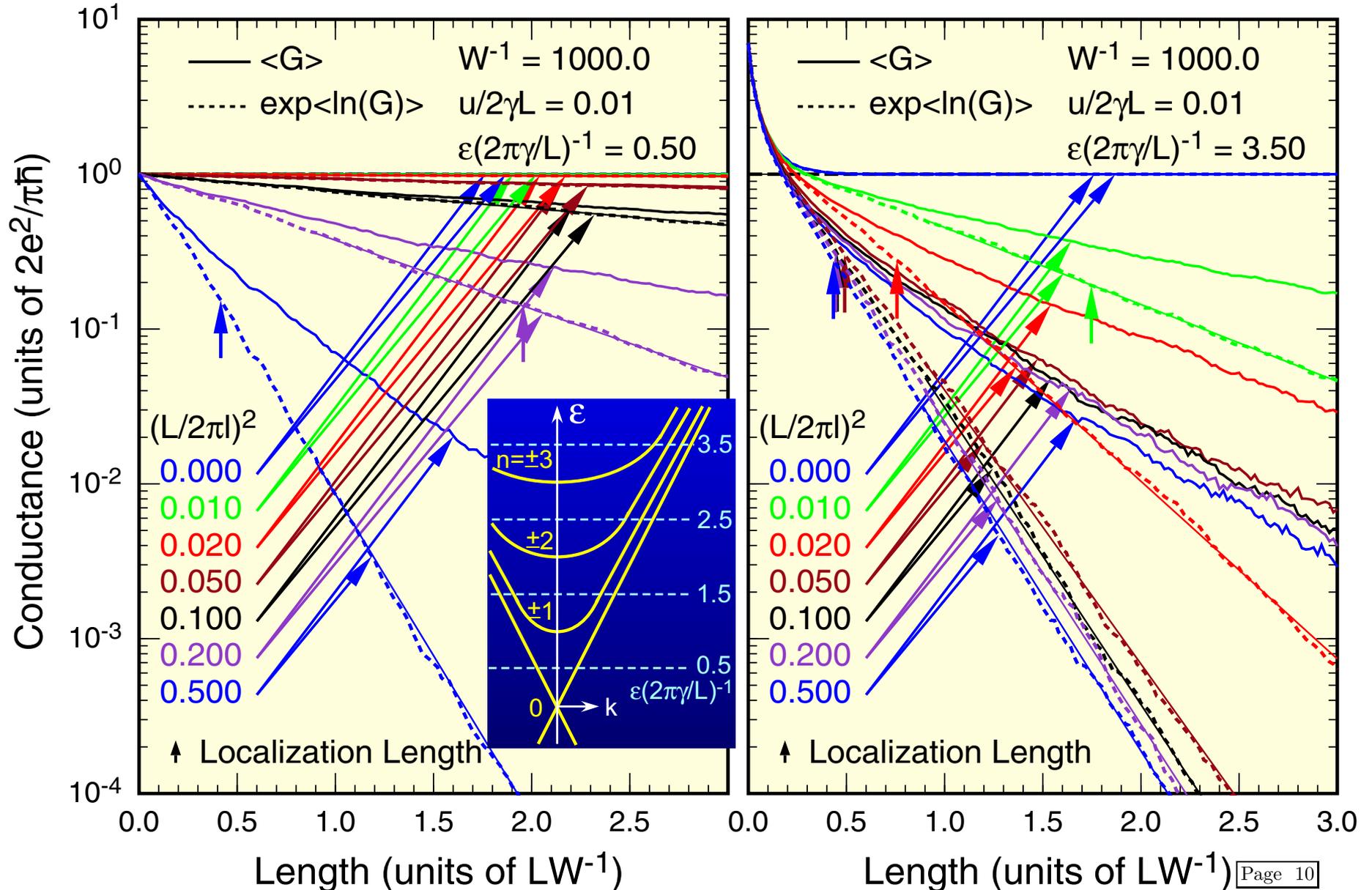
$$\mathcal{H} = \gamma \begin{pmatrix} 0 & \hat{k}_x - i\hat{k}_y + \frac{\beta a}{4\sqrt{3}} e^{3i\eta} (\hat{k}_x + i\hat{k}_y)^2 \\ \hat{k}_x + i\hat{k}_y + \frac{\beta a}{4\sqrt{3}} e^{-3i\eta} (\hat{k}_x - i\hat{k}_y)^2 & 0 \end{pmatrix}$$

**TB model**

$\Rightarrow \beta = 1$

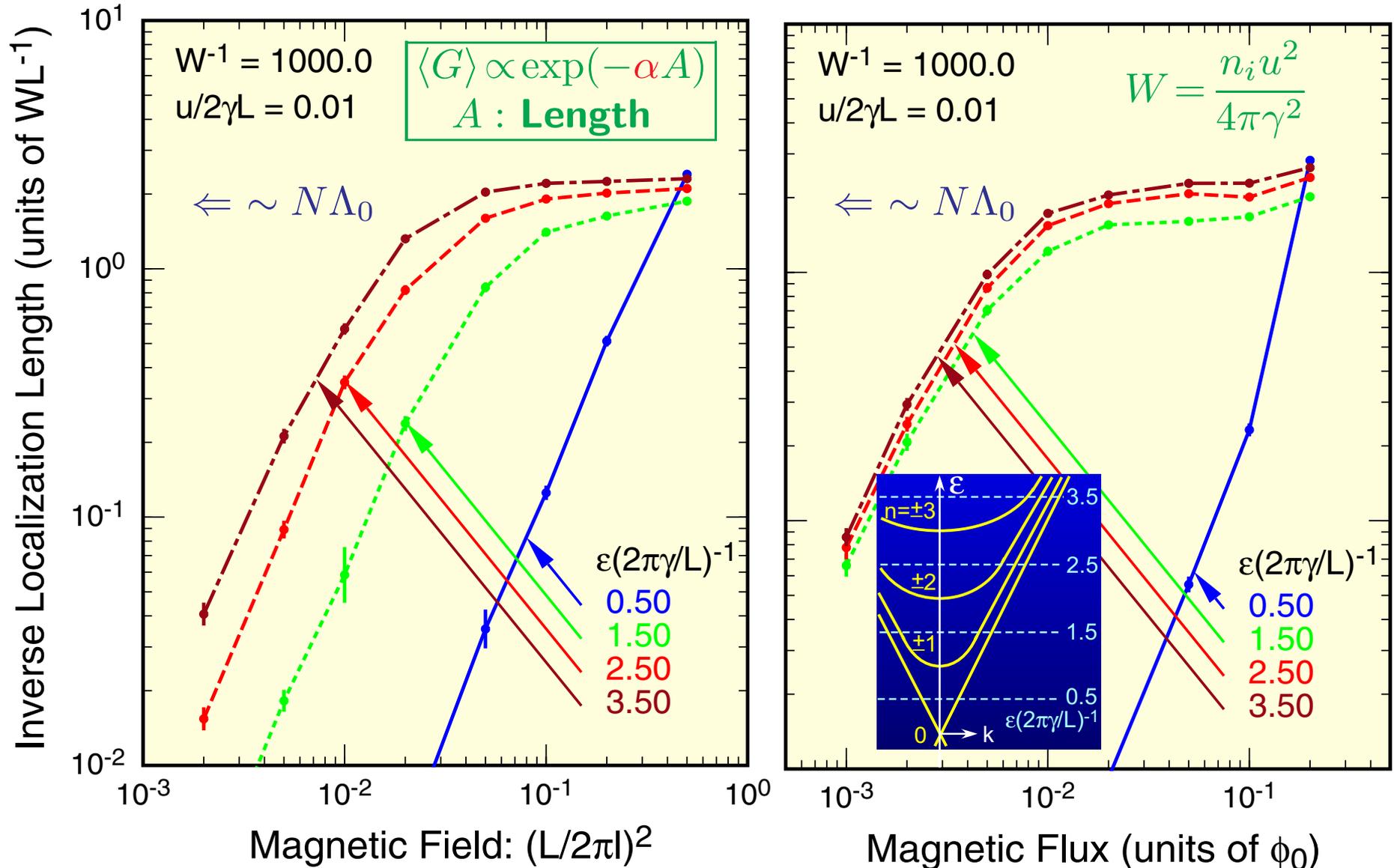
# Conductance in Weak Magnetic Fields

*T. Ando, J. Phys. Soc. Jpn. 73, 1273 (2004)*



# Inverse Localization Length $\alpha$ vs Magnetic Field and Flux

*T. Ando, J. Phys. Soc. Jpn. 73, 1273 (2004)*



## Effective Magnetic Flux

**Curvature:**  $\frac{\phi}{\phi_0} = -\frac{2\pi}{4\sqrt{3}} \frac{a}{L} p \cos 3\eta$  [*T. Ando, JPSJ* 69, 1757 (2000)]

$$p = 1 - \frac{3}{8} \frac{\gamma'}{\gamma} \ll 1 \quad \gamma = -\frac{\sqrt{3}}{2} V_{pp}^{\pi} a \quad \gamma' = \frac{\sqrt{3}}{2} (V_{pp}^{\sigma} - V_{pp}^{\pi}) a$$

*cf. C.L. Kane and E.J. Mele, PRL* 78, 1932 (1997)

**Lattice distortion:**  $\frac{\phi}{\phi_0} = \frac{Lg_2}{2\pi\gamma} [(u_{xx} - u_{yy}) \cos 3\eta - 2u_{xy} \sin 3\eta]$

$$u_{xx} = \frac{\partial u_x}{\partial x} + \frac{u_z}{R} \quad u_{yy} = \frac{\partial u_y}{\partial y} \quad u_{xy} = \frac{1}{2} \left( \frac{\partial u_x}{\partial y} + \frac{\partial u_y}{\partial x} \right)$$

$L$  : Circumference

$R$  : Radius  $L/2\pi$

$a$  : Lattice constant

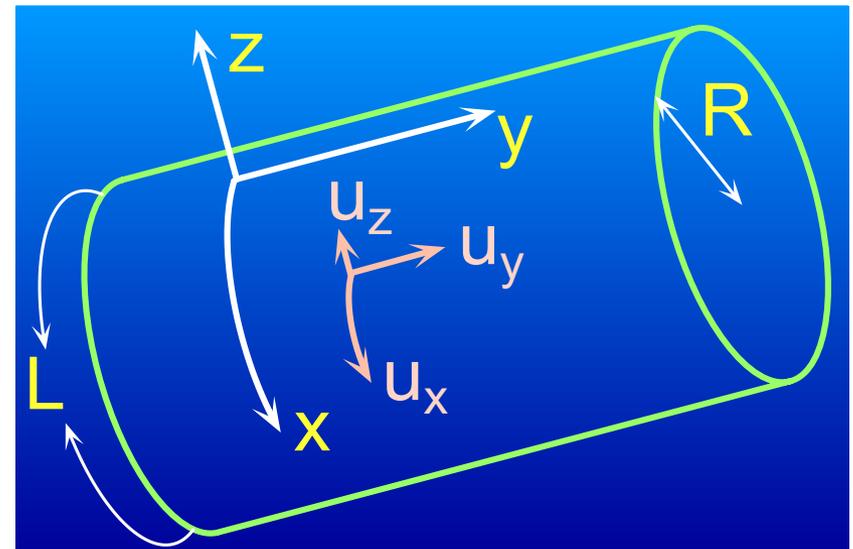
$\eta$  : Chiral angle

Zigzag :  $\eta = 0$

Armchair:  $\eta = \pi/6$

$g_2$  : Coupling constant ( $\sim |V_{pp}^{\pi}|$ )

[*H. Suzuura and T. Ando, PRB* 65, 235412 (2002)]



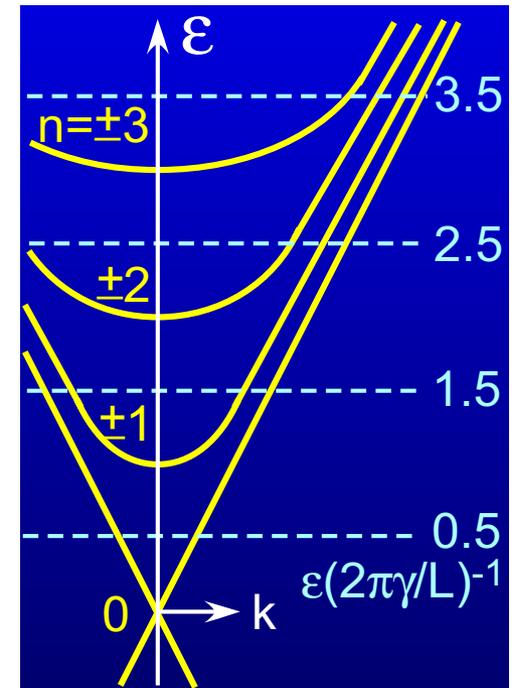
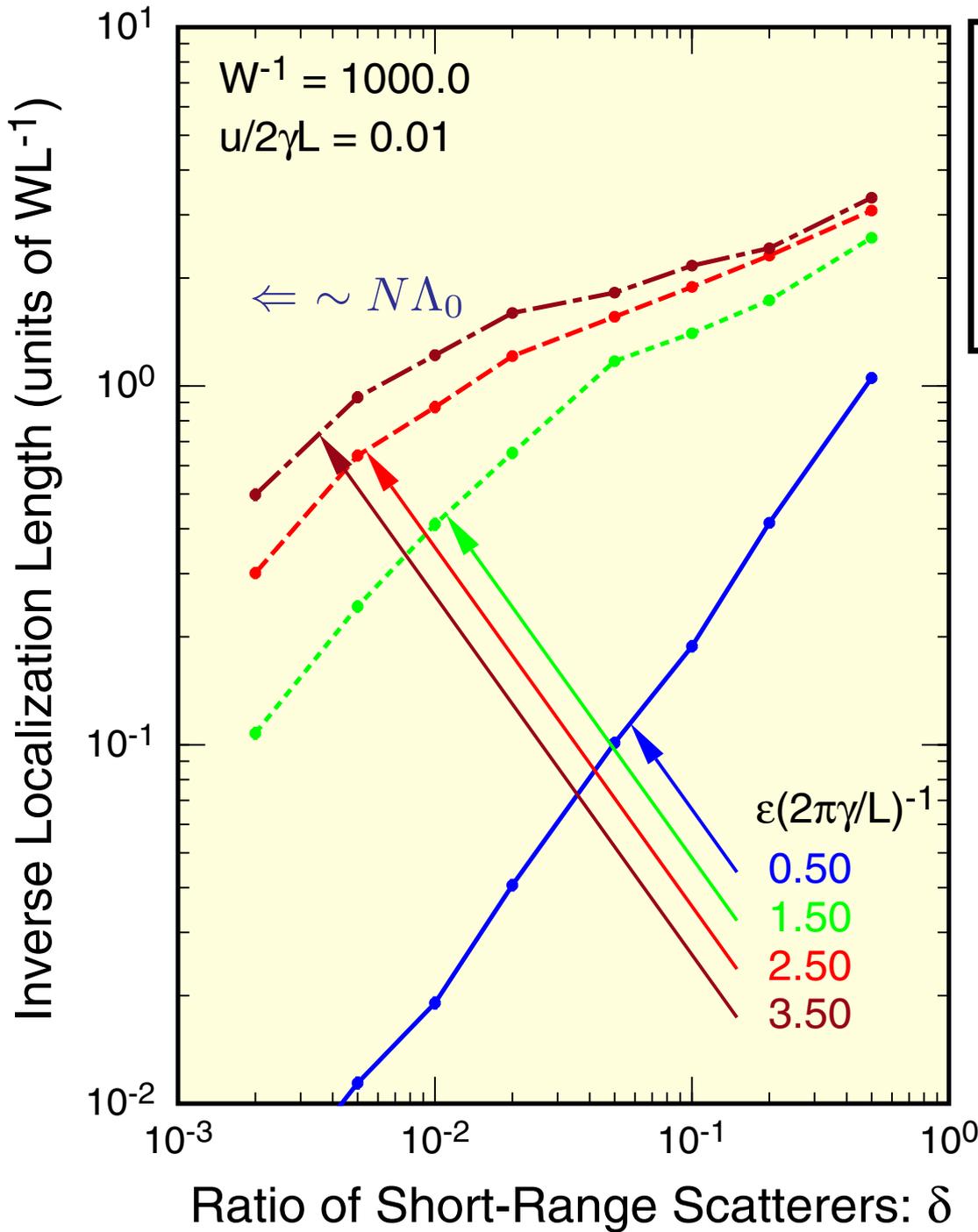
## Effects of Short-Range Scatterers

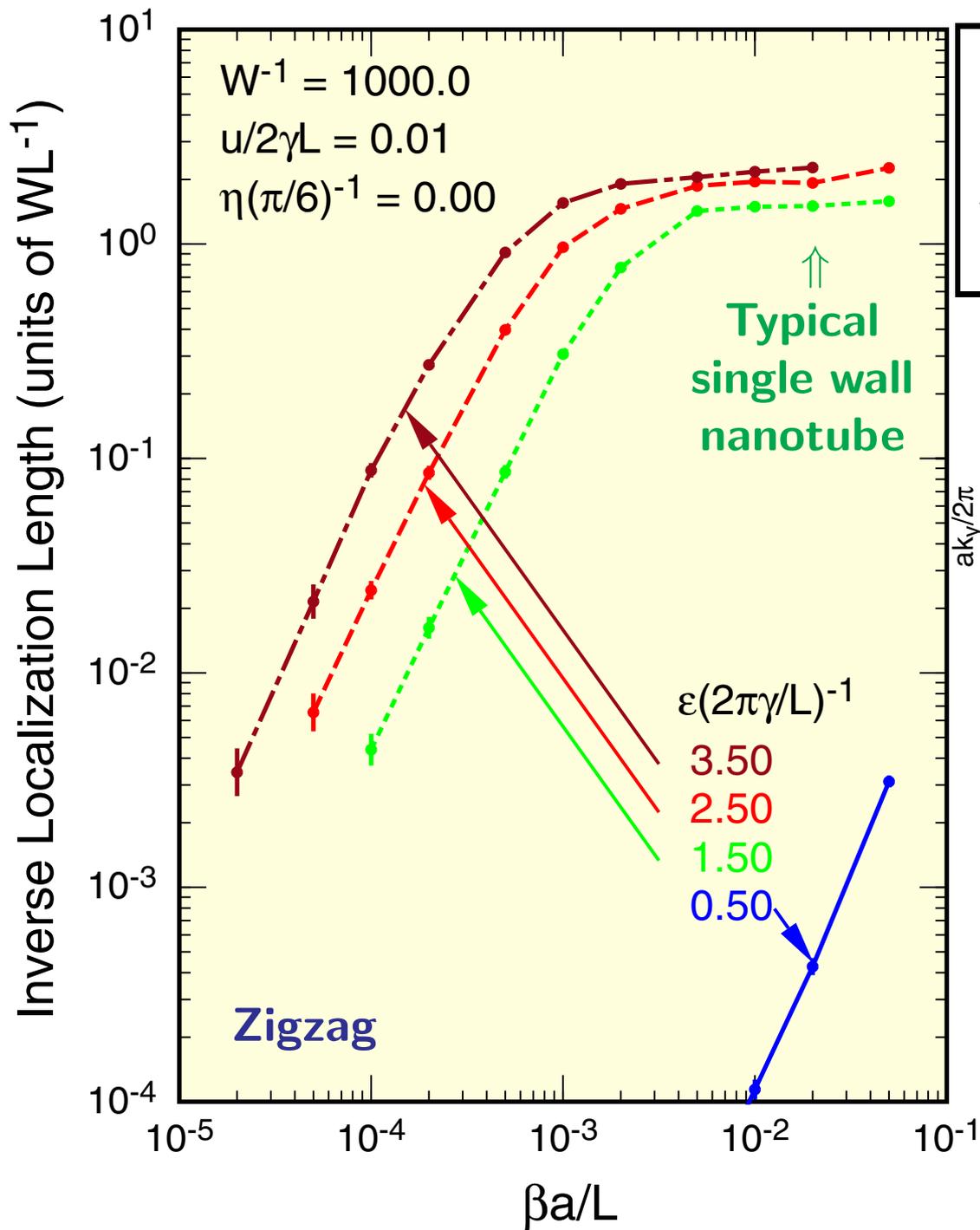
*T. Ando and  
K. Akimoto, JPSJ 73,  
1895 (2004)*

### Scattering strength

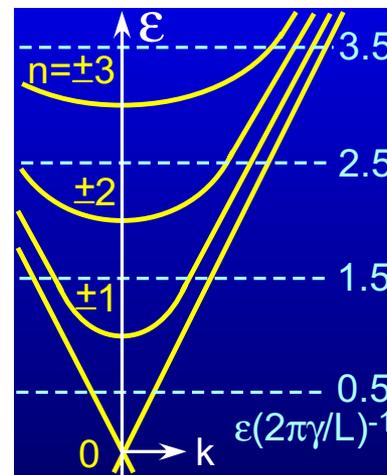
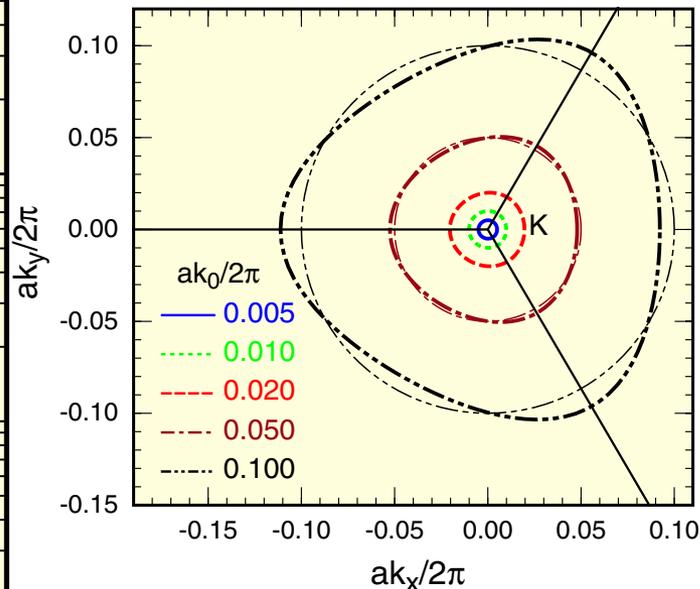
$$W = W_L + W_S$$

$$\delta = W_S / W$$





**Effects of Trigonal Warping**  
*K. Akimoto & T. Ando,*  
*JPSJ 73, 2194 (2004)*

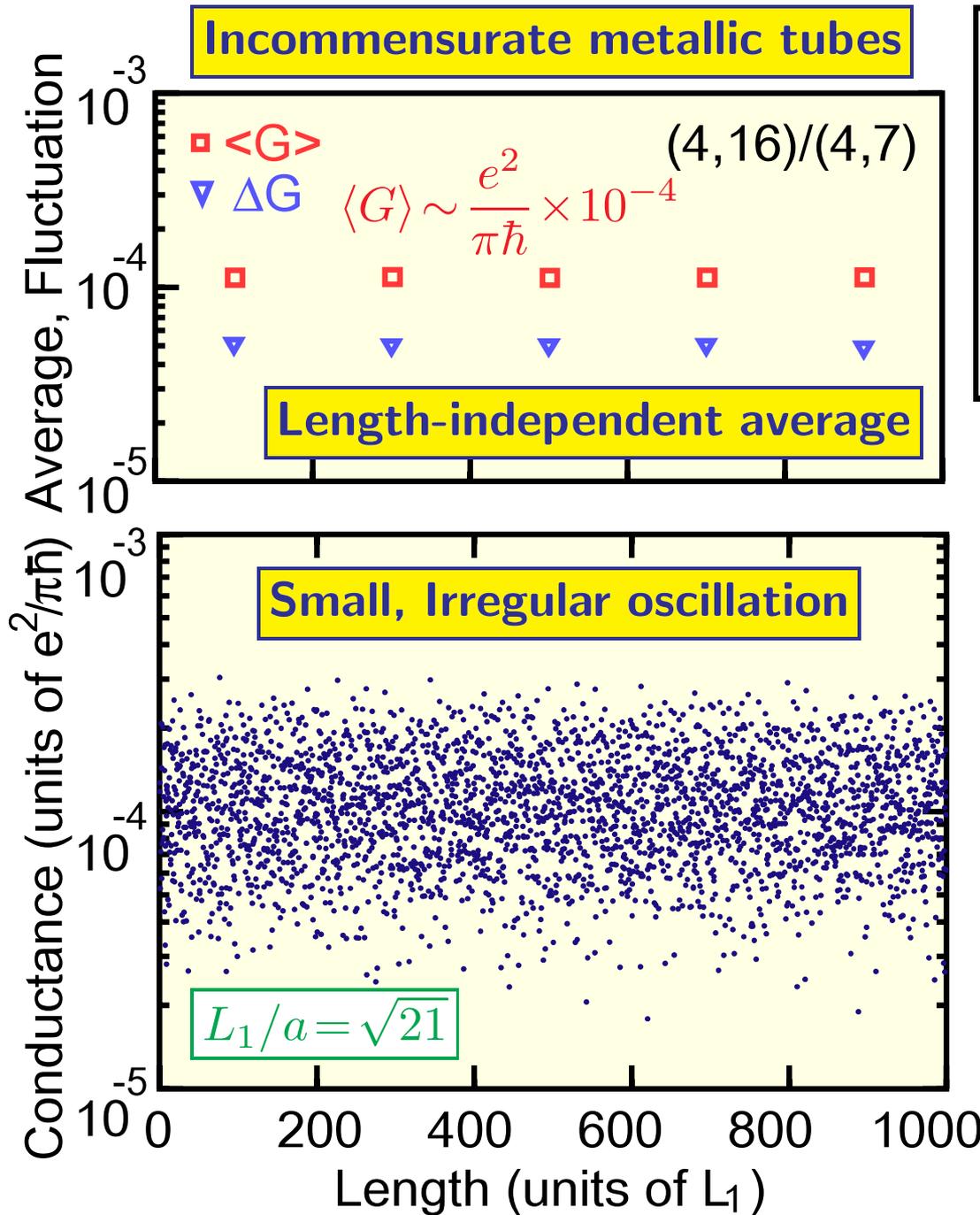
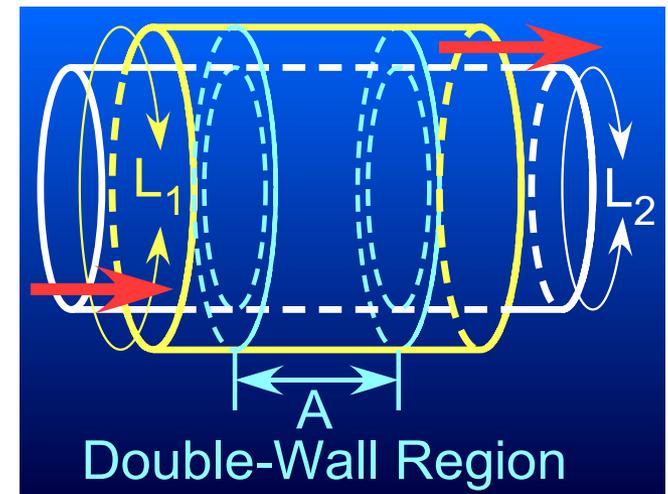


**Inter-Wall  
Conductance of  
Double-Wall Nanotube**  
*S. Uryu and T. Ando*  
*Phys. Rev. B* 72  
 245403 (2005)

**Multi-wall nanotubes**

- Incommensurate lattices
- Large inter-wall distance  
 $\sim 3.6 \text{ \AA}$  (3.35 \AA Graphite)

**Four-terminal geometry**



# Inter-Wall Coupling [S. Uryu and T. Ando, PRB 72, 245403 (2005)]

## Inter-tube conductance

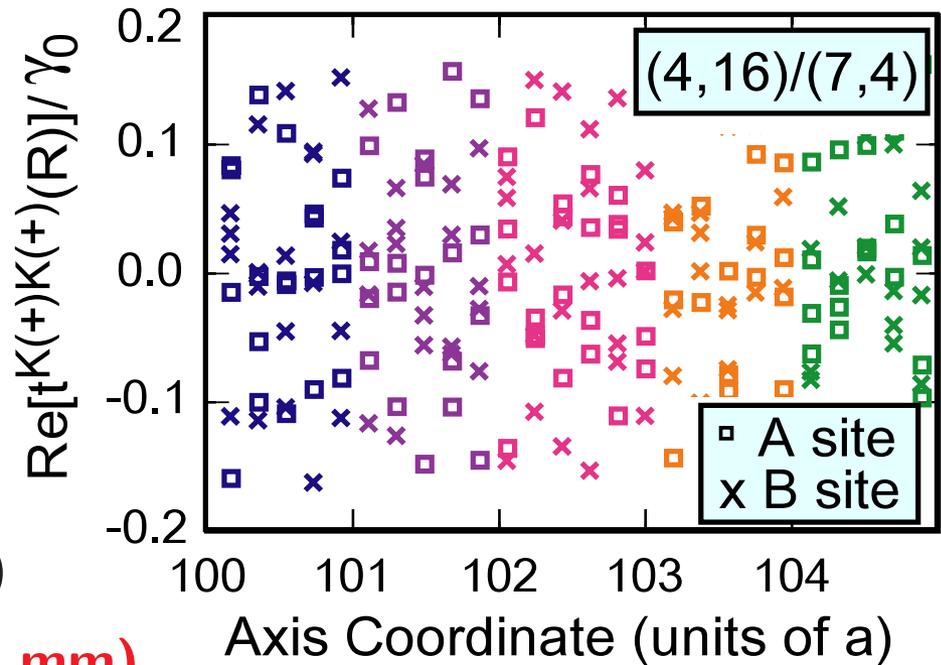
$$G = \frac{e^2}{\hbar} \frac{a^2}{4L_1L_2} \frac{1}{\gamma_0^2} \left| \sum_{\mathbf{R}} t(\mathbf{R}) \right|^2$$

$\approx$  **Series:** +1, -1, +1, -1, ...

$$\Rightarrow \left| \sum_{\mathbf{R}} t(\mathbf{R}) \right|_{\text{typical}}^2 \approx \langle |t(\mathbf{R})|^2 \rangle$$

$$G \sim 10^{-4} \times \frac{e^2}{\pi\hbar} \quad |t(\mathbf{R})| \lesssim 0.1 \times \gamma_0 \quad \Leftarrow (4, 16)/(7, 4)$$

**Length independent (up to  $\sim 1$  mm)**



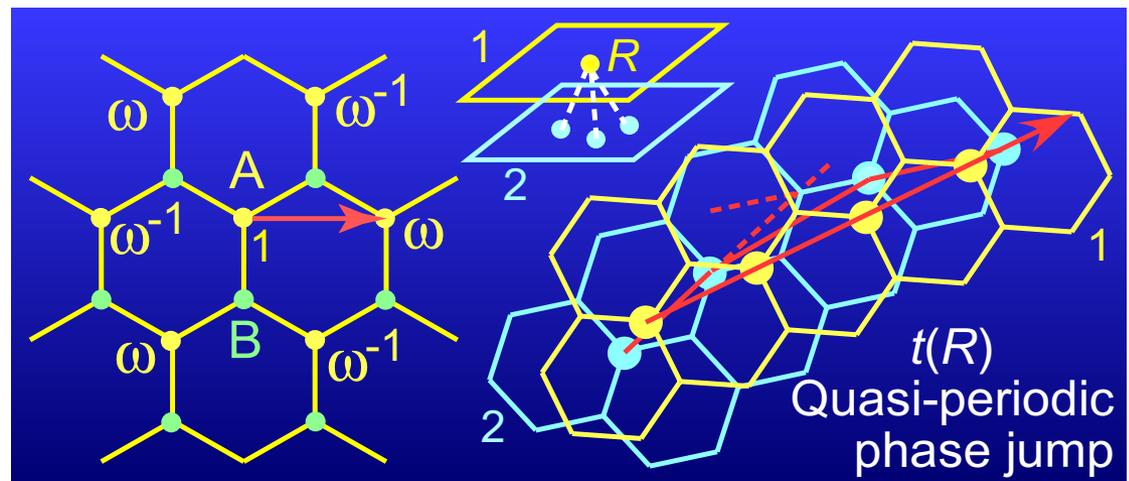
## Some related works

Y.-G. Yoon et al.,  
PRB 66, 73407 (2002)

K.-H. Ahn et al.,  
PRB 90, 26601 (2003)

F. Triozon et al.,  
PRB 69, 121410 (2004)

J. Cumings and A. Zettl, PRL 93, 86801 (2004)



# Summary: Metallic Nanotubes as a Perfect Conductor

Tsuneya ANDO

## 1. Effective-mass description

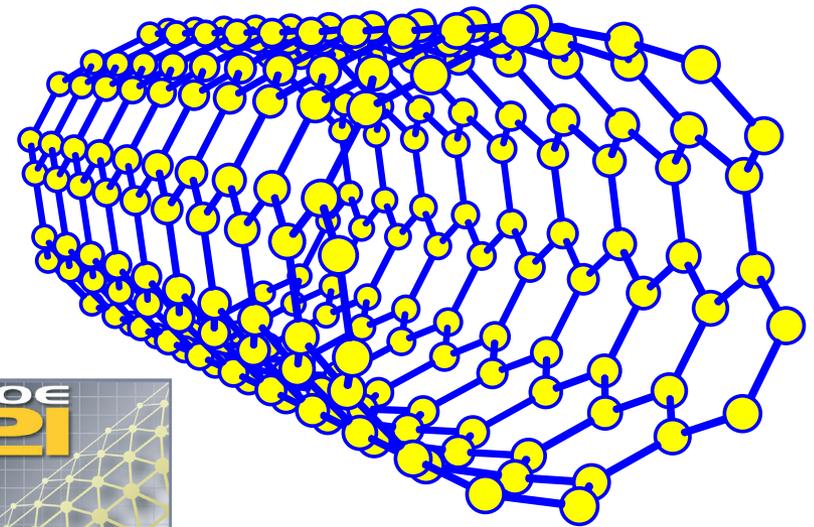
- Neutrino on cylinder surface

## 2. Nanotube as a perfect conductor

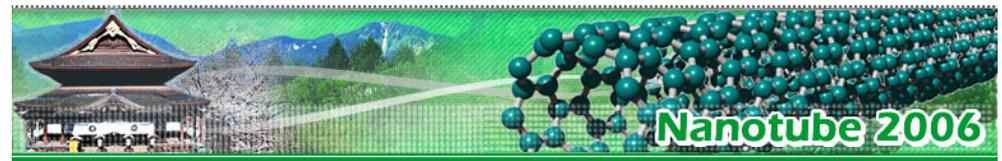
- Absence of backward scattering
- Perfectly transmitting channel
- Symmetry and channel number
- Some experiments

## 3. Effects of symmetry breaking

- Inelastic scattering
- Magnetic field and flux
- Short-range scatterers
- Trigonal warping



Sumio Iijima (1991)



**Absence of backscattering: Robust**  
**Perfect channel : Fragile**

## 4. Inter-wall interaction

- **Negligible inter-wall conductance**

### Collaborators

T. Nakanishi (AIST)  
 H. Suzuura (Hokkaido Univ)  
 S. Uryu (Tokyo Tech)